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Transmittal Form w Declaration

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|--|--|---|--|----------|--------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br>(Only for new nonprovisional applications<br>under 37 CFR 1.53(b))  | Attorney Docket No.                            | <b>K&amp;Y-169</b>  | Total Pages  |          |              |
|  | First named Inventor or Application Identifier |   | Toshinori MARUTSUKA  |          |              |
|  | Title of Invention                             |   | NEAR INFRARED-CUTTING MATERIAL AND<br>PROCESS FOR PRODUCTION THEREOF |          |              |
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application<br>contents.   |  | ADDRESS TO: Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231  |  |          |              |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br>2. <input checked="" type="checkbox"/> Specification [Total Pages <u>35</u> ]<br>- Descriptive title of the Invention<br>- Cross References to Related Applications<br>- Statement Regarding Fed sponsored<br>R & D<br>- Reference to Microfiche Appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings<br>(if filed)<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure<br>3. <input type="checkbox"/> Formal Drawing(s) (35 USC 113) [Total Sheets <u>*</u> ]<br>4. <input checked="" type="checkbox"/> Oath or Declaration [Total pages <u>2</u> ]<br>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application<br>(37 CFR 1.63(d))<br>(for continuation/divisional with Box 17 completed)<br>[Note Box 5 below]<br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting<br>inventor(s) named in the prior<br>application, see 37 CFR 1.63(d)(2)<br>and 1.33(b).<br>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is<br>checked)<br>The entire disclosure of the prior application, from<br>which a copy of the oath or declaration is supplied<br>under Box 4b, is considered as being part of the<br>disclosure of the accompanying application and is<br>hereby incorporated by reference therein. |  | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix)<br>7. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies<br><br><b>ACCOMPANYING APPLICATION PARTS</b><br>8. <input checked="" type="checkbox"/> Assignment papers (cover sheet & document(s))<br>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br>(When there is an assignee)<br><input type="checkbox"/> Power of Attorney<br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO-<br>1449<br><input type="checkbox"/> Copies of IDS Citations (* docs)<br>12. <input checked="" type="checkbox"/> Preliminary Amendment with Version with<br>Markings to Show Changes Made<br>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>14. <input type="checkbox"/> Assertion to Entitlement to Small Entity Status<br><input type="checkbox"/> Assertion filed in prior application, status<br>still proper and desired<br>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Priority of application No. 2001-071747 filed<br>on March 14, 2001, in Japan is claimed under<br>35 USC 119.<br><input type="checkbox"/> The certified copy has been filed in prior<br>application Serial No. 08/*.<br>16. <input type="checkbox"/> Other: *<br><br><b>OTHER</b><br>17. <input type="checkbox"/> Priority of * Patent Application No. * filed * is<br>claimed under 35 USC 119. |  |          |              |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in part (CIP)<br><div style="text-align: right;">of prior application No.: <u>08/ *</u></div>  |  |   |  |          |              |
| <b>18. CORRESPONDENCE ADDRESS</b>  |  |   |  |          |              |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label <u>020374</u> or <input type="checkbox"/> Correspondence address below   |  |   |  |          |              |
| NAME   | KUBOVCIK & KUBOVCIK                            |   |  |          |              |
| ADDRESS  | 900 17th Street, N.W.                          |   |  |          |              |
| CITY   | Washington                                     | STATE   | DC   | ZIP CODE | 20006        |
| FILING DATE  | March 7, 2002                                  | TEL   | 202-887-9023   | FAX      | 202-887-9093 |

|   |                        |                     |
|---|------------------------|---------------------|
| <b>FEE TRANSMITTAL</b><br>Note: Effective October 1, 2001 | Application Number     | Not Assigned        |
|   | Filing Date            | March 7, 2002       |
|   | First Named Inventor   | Toshinori MARUTSUKA |
|   | Group Art Unit         | Not Assigned        |
|   | Examiner Name          | Not Assigned        |
|   | Attorney Docket Number | K&Y-169             |

| CLAIMS AS FILED-PART 1                             |              |              | SMALL ENTITY |          | OTHER THAN SMALL ENTITY |          |
|--|--------------|--------------|--------------|----------|-------------------------|----------|
| FOR  | NUMBER FILED | NUMBER EXTRA | RATE         | FEE      | RATE                    | FEE      |
| BASIC FEE<br>(37 CFR 1.16 (a))                     |              |              |              | \$370.00 |                         | \$740.00 |
| TOTAL CLAIMS<br>(37 CFR 1.16 (c))                  | 10 - 20 =    |              | \$9.00       |          | \$18.00                 | \$0.00   |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16 (B))            | 3 - 3 =      |              | \$42.00      |          | \$84.00                 | \$0.00   |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d)) |              |              | \$140.00     |          | \$280.00                |          |
|  |              |              | SUB TOTAL    |          | SUB TOTAL               | \$740.00 |
| SURCHARGE-LATE FILING FEE OR DECLARATION           |              |              | \$65.00      |          | \$130.00                |          |
| RECORDING ASSIGNMENT                               |              |              | \$40.00      |          | \$40.00                 | \$40.00  |
| <b>TOTAL</b>                                       |              |              |              | \$0.00   |                         | \$780.00 |

| METHOD OF PAYMENT (check one)   |                     |  |  |
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| 1. <input checked="" type="checkbox"/> The commissioner is hereby authorized to credit overpayments or charge insufficiencies to: |                     | 2. <input checked="" type="checkbox"/> Payment Enclosed:   |  |
| DEPOSIT ACCOUNT No.   | 111833              | <input checked="" type="checkbox"/> Check (# <u>4514</u> for \$780.00)<br><input type="checkbox"/> Money Order<br><input type="checkbox"/> Other |  |
| DEPOSIT ACCOUNT NAME  | KUBOVCIK & KUBOVCIK |  |  |

| SIGNATURE OF ATTORNEY, OR AGENT |   |                  |  |
|---------------------------------|---|------------------|--|
| NAME                            | Keiko Tanaka Kubovcik   | REGISTRATION No. | 40,428   |
| SIGNATURE                       |  | ADDRESS          | KUBOVCIK & KUBOVCIK<br>900 17th Street, N.W.<br>Washington, D.C. 20006 |
|                                 |   | TELEPHONE        | 202-887-9023   |
| DATE                            | March 7, 2002   | FAX              | 202-887-9093   |

KTK/cfm